



11/01/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

H010013A

Total  
Pages

First Named Inventor or Application Identifier

Chih-Chin CHANG

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

## APPLICATION ELEMENTS FOR:

**FIELD EMISSION DISPLAY CATHODE (FED) PLATE  
WITH AN INTERNAL VIA AND THE FABRICATION  
METHOD FOR THE CATHODE PLATE**

ADDRESS TO: Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[12]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[6]**

4. ☒ Oath or Declaration Total Pages **[2]**

a. [ ] Newly executed (original or copy)

b. ☒ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).

i. [ ] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under box 4b, is considered as being part of the disclosure of the accompanying  
application and is incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and documents) to **UNIPAC OPTOELECTRONICS  
CORPORATION** in the prior application Serial No. **09/855,711** filed on **May 16, 2001** has been  
recorded at Reel **011819**, Frame **0467**).

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

J1000 U.S. PTO  
09/986175  
11/01/01

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10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ PTO-1449 Form
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Taiwan application no's. 090102470 filed on February 6, 2001 is claimed under 35 USC 119.  
The certified copies/copy have/has been filed in prior application Serial No. 09/855,711.  
(For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 09/855,711
- a. ☒ Please amend the specification by inserting after the title: --This application is a division of  
prior application Serial No. 09/855,711 filed May 16, 2001.
- b. ☒ Cancel in the application original claims 1-11 of the prior application before calculating the filing  
fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	9 - 20	0	x \$18.00	
Independent Claims	1 - 3	0	x \$84.00	
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				740.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				740.00

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☒ The amount of \$740.00 is enclosed.

☐ Please charge our Deposit Account No. **50-1299** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **50-1299**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



**27760**

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: **Raymond J. Ho**

Reg. No. **41,838**

Signature

Date: **November 7, 2001**

RJH/km